

CASE

A) Optimal use of funds, practical management to decrease imbalance in requested/available funds at national level and include all funders

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CORE Organic

2004-2007 CORE Organic ERA-NET www.coreorganic.org/research

11 countries, 1 call, 8.3 mio €
8 projects 2007-2010/11

2010-2013 CORE Organic II www.coreorganic2.org

21 Countries, 3 calls (9 mio €, 4,5 mio €, RCP 0.9 mio €)
11 projects 2011-2014/15, 3 projects 2013-2016

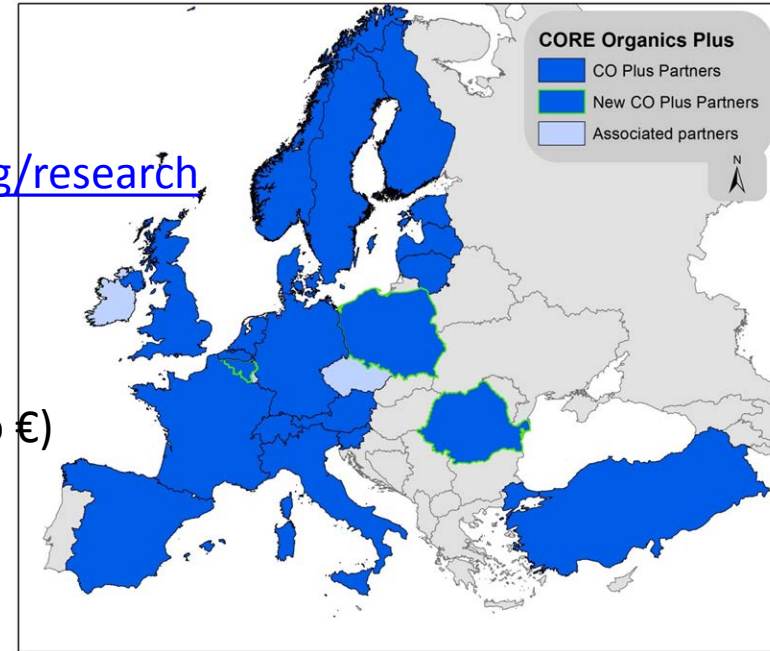
2013-2018 CORE Organic Plus www.coreorganic.org/

20 countries, 1 call (11,3 mill € incl. EU funds)
11 projects 2014-2018

Expected: 2016-2021 CORE Organic Cofund

Last call - funds between 100,000 and 1,770,000 euro for up to 4 topics.

9 funding bodies ≤ 200,000 euro





Optimal use of funds = use as big a part of the national funds as possible

- High satisfaction for funding bodies
- Optimal involvement of (all) partners in research projects
- Ability to spend funds on administration?!

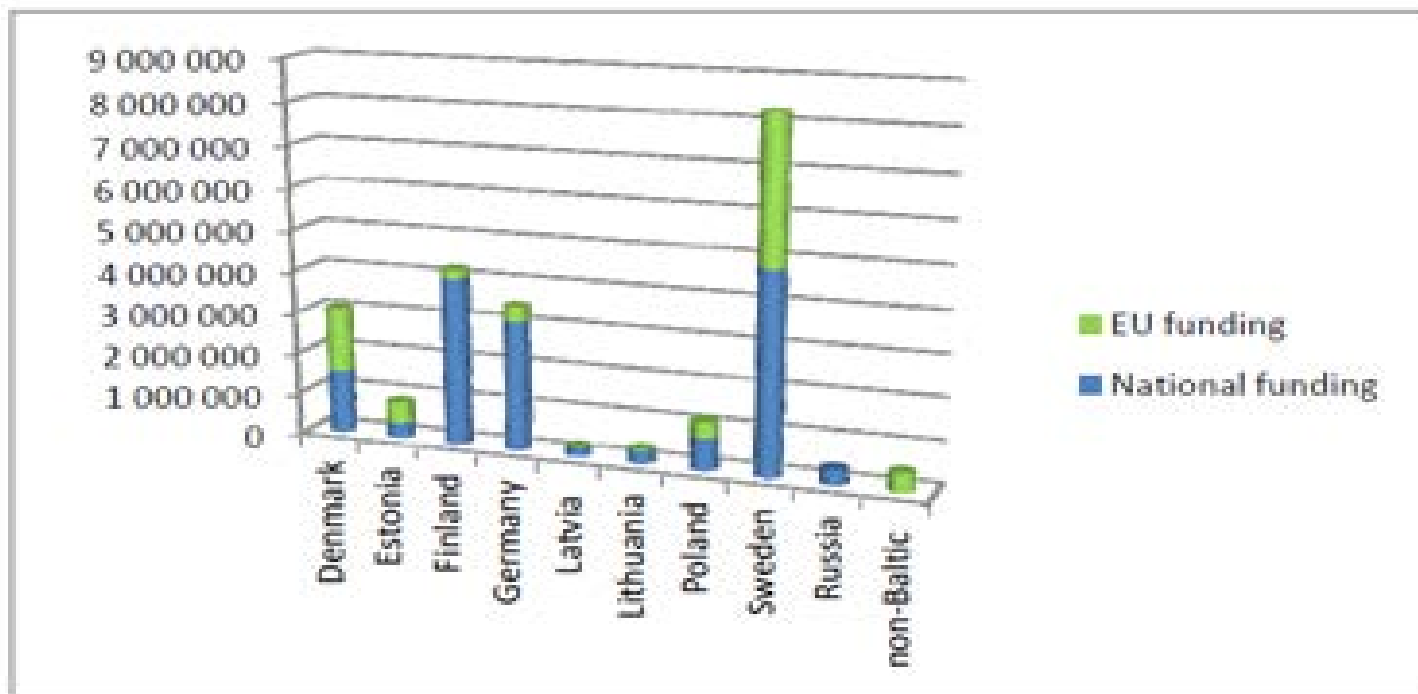
When

- Before the call
- At the selection of pre-proposals
- At the selection of full-proposals

Before the call

European
Commission

BONUS+, example of allocation of projects funding between the national and EU contributions per country



Research &
Innovation

Advice from the EC: try to avoid that 1-2 countries get all the top-up funding. Try to foresee a potential unbalanced allocation of funds before the call is launched, and see if you can agree to measures to be taken.



Before the call - How????

- Set limits for progression of pre-proposals in Consortium Agreement?
- Evaluate if the allocated funds match the expected burden of applicants!
 - Based on national budgets for R&D?
 - Based on national budgets for research in the area?
 - Based on experiences from previous calls!



Statistics from COII Call 1				CO Plus
Country	Budget		Difference	
	allocated	requested	(x-factor)	
	100.000,00 €	78.887,00 €	0,79	
	400.000,00 €	500.405,00 €	1,25	
	30.000,00 €	43.600,00 €	1,45	
	90.000,00 €	183.000,00 €	2,03	
	200.000,00 €	563.938,00 €	2,82	
	20.000,00 €	59.000,00 €	2,95	
	150.000,00 €	527.448,00 €	3,52	
	200.000,00 €	818.265,00 €	4,09	
	350.000,00 €	1.513.894,00 €	4,33	
	100.000,00 €	443.696,00 €	4,44	
	1.200.000,00 €	5.459.268,00 €	4,55	
	400.000,00 €	1.862.011,00 €	4,66	
	70.000,00 €	345.579,00 €	4,94	
	600.000,00 €	3.034.122,00 €	5,06	100%
	200.000,00 €	1.108.583,00 €	5,54	0
	750.000,00 €	4.199.656,00 €	5,60	160%
	300.000,00 €	1.806.632,00 €	6,02	217%
	1.200.000,00 €	7.805.897,00 €	6,50	25%
	358.000,00 €	2.333.443,00 €	6,52	36%
	1.000.000,00 €	6.832.628,00 €	6,83	100%
	200.000,00 €	1.478.628,00 €	7,39	100%



At pre-proposal selection

Progressed 2.3 x the total amount of funding

- Funding bodies with > 3 x were asked to consider withdrawing from projects and/or request reduction in budgets (5 funding bodies) – no demands
- Countries risking not to be able to spend their funds (3 partners ≤ 1 x) – consortia are invited to add a partner from this country, if the project as a whole will gain from it
- If partners are withdrawn by funding bodies, consortia are invited to add a partner from identified countries (with low requested/available factor, ≤ 2 x)



Result

Restrictions before the call					x		x		x		x		
Pre-proposal topics	1 of 4	3 of 4	3 of 4	3 of 4	3 of 4	4 of 4	2 of 4	3 of 4	1 of 4	4 of 4	3 of 4	1 of 4	2 of 4
Total avail	221	221	221	442	1105	1481	221	332	221	718	718	144	111
Total req	456	296	296	1357	2860	4093	317	529	788	1995	2814	290	50
Req/avail	2,1	0,7	1,3	3,1	2,8	2,7	1,4	1,6	3,6	2,7	3,9	2	0,4
Full proposals all topics													
Available	221	221	221	442	1104	1478	220	330	221	716	718	143	110
Requested	340	370	565	1358	2977	4079	374	522	790	1790	2766	290	105
	1,5	1,7	2,6	3,1	2,7	2,8	1,7	1,6	3,6	2,5	3,9	2	1
		1 more	3 more				1 more						2 more
EC funds	54	3	21	137	34	204	24	0	190	137	402	14	10
% EC of national		4	10,5	34,3	3,4	15,2	12	0	95	21,1	50,4	10,8	10,5
Conclusions for the next call				Restrictions or more funds					More funds		Restrictions or more funds		

Suggested formulation for the next Consortium Agreement: Funding bodies have to reduce the 'requested' divided by 'available' to 3.0 at pre-proposal stage



At the selection of full proposals

- Selection criteria: Avoid loosing partners!
- Asked all partners to prepare well – asked for more funds and asked for flexibility to give away pre-allocated funds

Spent 9,957,000 national funds of 9,316,000
= 94 % use of funds



Other possibilities?

- Demand a minimum amount of funds?
- ?
- ?
- ?
- ?